

Use this form to transfer Traditional IRA, Roth IRA or SEP-IRA assets, or assets from an employer-sponsored qualified retirement plan, 403(b) arrangement or qualified governmental 457 plan, to an Artisan Partners Funds IRA from your current custodian. Upon receipt of this form, we will contact your current custodian to arrange the transfer.

If you are directly rolling over a distribution from an employer-sponsored retirement plan, please do not use this form, simply check the correct box on the Artisan Partners Funds IRA Application.

Please complete this entire IRA Transfer Form, sign it and mail it and additional documentation, if applicable, to Artisan Partners Funds. Failure to complete all required sections will result in processing delays. Please retain a copy of the completed form for your records.

We encourage you to consult with your legal counsel and/or tax advisor in completing this form regarding the tax consequences and tax requirements of transferring an IRA.

**For Regular Mail Delivery**  
 Artisan Partners Funds  
 P.O. Box 8412  
 Boston, MA 02266-8412

**For Overnight Delivery**  
 Artisan Partners Funds  
 30 Dan Road  
 Canton, MA 02021-2809

**Questions?** Call 800.344.1770 or visit [www.artisanpartners.com](http://www.artisanpartners.com)

## 1. Transfer Account Registration *(Please type or print clearly)*

To transfer your IRA, we need to know how it is registered with your current custodian. Complete a separate form for each account being transferred.

Owner's Name (First, Middle, Last) Social Security Number/Tax Identification Number Date of Birth (MM-DD-YYYY)

Street Address\*

City State Zip

Mailing Address (If different from Street Address)

City State Zip

(      )

Phone Email

\*You must be a US resident with a US mailing address. If your mailing address is a post office box, you must also provide a US residential address to invest in Artisan Partners Funds.

## 2. Artisan Partners Funds Investment

Check one of the following boxes:

I am opening a new Artisan Partners Funds IRA and am attaching my completed IRA Application.

I already own an Artisan Partners Funds Traditional IRA into which I am making this transfer:

Account Number Amount (\$) or Percent (%)

Account Number Amount (\$) or Percent (%)

I already own an Artisan Partners Funds Roth IRA into which I am making this transfer:

Account Number Amount (\$) or Percent (%)

Account Number Amount (\$) or Percent (%)

## 2. Artisan Partners Funds Investment (continued)

### Custodian Acceptance

UMB Bank, n.a. agrees to accept transfer of the above amount for deposit to the Artisan Partners Funds' IRA, and requests the liquidation and transfer of assets as indicated below.

See attached Letter of Acceptance for the signature of an authorized officer of the custodial agent.

#### Send redemption proceeds by check made payable to:

Artisan Partners Funds IRA  
FBO (SHAREHOLDER'S NAME)

#### Mail to the following address:

Artisan Partners Funds  
P.O. Box 8412  
Boston, MA 02266-8412

If you are transferring assets into a Roth IRA from another type of IRA or a qualified employer plan (other than a Roth account), complete Section 4.

I acknowledge that I have sole responsibility for my investment choices and that I have received a current prospectus for each Fund I select.

I understand that the requirements for a valid transfer to a Traditional IRA, SEP-IRA or Roth IRA are complex and that I have the responsibility for complying with all requirements and for the tax implications of any such transfer.

If you are transferring funds from a Traditional IRA, SEP-IRA, or qualified employer plan, that you inherited as the beneficiary of the original IRA owner or plan participant, do not transfer those funds into an existing IRA account that you already own unless you are the surviving spouse of the original IRA owner or plan participant and you wish to have the funds treated as part of your own IRA for purposes of the minimum distribution rules. Otherwise, you should open a new IRA (even if you already have an Artisan Partners Funds IRA), and check the box for "Inherited IRA" on the application form.

## 3. Transfer Instructions

List the assets you are transferring to your Artisan Partners Funds IRA. We will contact your current custodian to arrange the transfer.

If you are age 70½ or older, please contact your current custodian to satisfy this year's required minimum distribution before your transfer is made (not applicable to transfers from a Roth IRA).

### A) Account Information

Owner's Name (First, Middle, Last)

Account Number

Name of the Mutual Fund, Brokerage Firm or Bank in which your IRA is Invested

Amount (\$) or Percent (%)

Type of Account (check one)

Traditional IRA

Roth IRA

SEP-IRA

Rollover IRA (check this only if you are transferring an IRA that contains solely the assets of a previous rollover from an employer-sponsored retirement plan)

Qualified employer plan (other than a Roth account)

Roth account or qualified employer plan

403(b) plan

Governmental 457 plan

### B) Current Custodian

Current Custodian's Name

Street Address

City

State

Zip

### C) Transfer Type

#### Transfer Assets in Cash

Liquidate all or  \$ \_\_\_\_\_ or  \_\_\_\_\_ % of assets in the above-referenced account and transfer the proceeds to UMB Bank, n.a., custodian of my Artisan Partners Funds IRA. The check should be made payable to Artisan Partners Funds.

OR

#### Transfer in Kind

My assets currently include Artisan Partners Funds shares to be transferred in kind.

All or  \$ \_\_\_\_\_ or  \_\_\_\_\_ % of assets in the above-referenced account.

## 4. Tax Withholding Election

### Complete only for transfer to a Roth IRA from another type of IRA

Under IRS rules, a transfer of a Traditional IRA, SEP IRA or SIMPLE IRA to a Roth IRA is treated for income tax purposes as a distribution of taxable amounts in the other IRA. IRS rules also require the custodian to withhold 10% of the amount transferred for federal income taxes unless no withholding has been elected. See IRS Publication 505, "Tax Withholding and Estimated Tax" for more information. State tax withholding may also apply if federal income tax is withheld. Caution: Withholding income taxes from the amount transferred (instead of paying applicable income taxes from another source) may adversely impact the expected financial benefits of transferring from another IRA to a Roth IRA (consult your financial adviser if you have a question). Because of this impact, by electing to convert a Traditional IRA to a Roth IRA, you are deemed to elect no withholding unless you check the box below. By checking this box and signing this form, you acknowledge that you may be required to pay estimated tax and that insufficient payments of estimated tax may result in penalties. You cannot waive withholding on a distribution from an employer plan.

Withhold 10% for federal income taxes (If you want a greater percentage withheld, write that percentage here \_\_\_\_\_).

## 5. Signature

I certify to the present IRA or plan custodian or trustee that I have established a successor Individual Retirement Custodial Account meeting the requirements of Internal Revenue Code Section 408(a), 408(k), 408(p) or 408A (as the case may be) to which assets will be transferred, and further certify to UMB Bank, n.a. that the IRA or plan from which assets are being transferred meets the requirements of Internal Revenue Code Section 401(a), 403(b), 408(a), 408(k), 408(p), 408A or 457(b) and (e) (1) (A) (as the case may be). Please liquidate the amount listed in Section 3 above and forward to Artisan Partners Funds.

Signature (As it appears in Section 1)

Date (MM-DD-YYYY)

**Note:** If you are over the age of 70½, your minimum required distribution for the current year is not eligible for transfer. (Not applicable to transfers from a Roth IRA).

### Medallion Signature Guarantee

Your current custodian may require a Medallion Signature Guarantee in order to process the transfer. Please check with your custodian and obtain a Medallion Signature Guarantee if necessary. A Medallion Signature Guarantee may be obtained from a bank, credit union, or brokerage firm (called the guarantor). A Notary Public cannot provide a Medallion Signature Guarantee. The guarantor assumes financial liability for the authenticity of the signature. Medallion Signature Guarantees must cover the amount of the requested transaction. There are several different surety bond amounts, so it is important to obtain a bond equal to or greater than the amount of your transaction(s). If the surety bond amount of the Medallion Signature Guarantee is less than the amount of your transaction(s), your request will be rejected. There is a unique identification number with a letter prefix on each medallion that signifies the dollar amount covered by the guarantor. **Please note that a Notary Public stamp or seal is different from a Medallion Signature Guarantee and is not acceptable.**

Name of Bank or Firm Providing Medallion Signature Guarantee

Authorized Signature and Stamp

Date (MM-DD-YYYY)

Stamp