Artisan Partners Privacy Notice for California Residents

Effective Date: January 1, 2020

This Privacy Notice for California Residents supplements the information contained in Artisan Partners Limited Partnership’s and its affiliates (“Artisan Partners”, “we”, “us”, or “our”) General Privacy Policy and our U.S. Privacy Notice and applies to our clients, this website’s visitors, users, and others who reside in the State of California (“consumers”, “you”, or “your”). Artisan Partners is providing this Notice in accordance with the California Consumer Privacy Act of 2018, as may be amended from time to time (“CCPA”) and other California privacy laws. Any terms defined in the CCPA have the same meaning when used in this Notice, which may differ from the meaning of those terms when used in our other policies or disclosures.

Information We Collect

We collect information that identifies, relates to, describes, references, is capable of being associated with, or could reasonably be linked, directly or indirectly, with a particular consumer, household or device (“personal information”). We do not knowingly collect any personal information directly from, or target any of our marketing or advertising efforts directly to, any person who is not considered in the U.S. to be of adult age.

Personal information does not, as relevant to us, include:

- Publicly available information from government records.
- Deidentified or aggregated consumer information.
- Personal information covered by certain sector-specific privacy laws, including the Gramm-Leach-Bliley Act (GLBA) or the California Financial Information Privacy Act (FIPA).

We obtain the categories of personal information listed below from the following categories of sources:

- Directly and indirectly from our consumers and their agents.
- Directly and indirectly from activity on our websites, for example, from submissions through our website portal or website usage details collected automatically.
- From third-parties that interact with us in connection with the products we offer and services we perform.

We have collected the following categories of personal information from consumers within the last 12 months:

<table>
<thead>
<tr>
<th>Category</th>
<th>Examples</th>
<th>Collected</th>
</tr>
</thead>
<tbody>
<tr>
<td>A. Identifiers.</td>
<td>Full name, home address, Internet Protocol (IP) address, email address, account name, Social Security number (SSN), driver's license number, passport number, or other similar identifiers.</td>
<td>YES</td>
</tr>
<tr>
<td>B. Personal information categories listed in the California Customer Records statute (Cal. Civ. Code § 1798.80(e)).</td>
<td>A name, signature, SSN, physical characteristics or description, address, telephone number, passport number, driver's license or state identification card number, insurance policy number, education, employment, employment history, bank account number, credit or debit card number, or any other financial information, medical information, or health insurance information.</td>
<td>YES</td>
</tr>
<tr>
<td>Category</td>
<td>Description</td>
<td></td>
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<tr>
<td>C. Protected classification characteristics under California or federal law.</td>
<td>Age (40 years or older), race, color, ancestry, national origin, citizenship, religion or creed, marital status, medical condition, physical or mental disability, sex (including gender, gender identity, gender expression, pregnancy or childbirth and related medical conditions), sexual orientation, veteran or military status, genetic information (including familial genetic information).</td>
<td>YES</td>
</tr>
<tr>
<td>D. Commercial information.</td>
<td>Records of personal property, products or services purchased, obtained, or considered, or other purchasing or consuming histories or tendencies.</td>
<td>YES</td>
</tr>
<tr>
<td>E. Biometric information.</td>
<td>Genetic, physiological, behavioral, and biological characteristics, or activity patterns used to extract a template or other identifier or identifying information, such as, fingerprints, faceprints, and voiceprints, iris or retina scans, keystroke, gait, or other physical patterns, and sleep, health, or exercise data.</td>
<td>NO</td>
</tr>
<tr>
<td>F. Internet or other similar network activity.</td>
<td>Browsing history, search history, information on a consumer's interaction with a website, application, or advertisement.</td>
<td>YES</td>
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<tr>
<td>G. Geolocation data.</td>
<td>Physical location or movements.</td>
<td>YES</td>
</tr>
<tr>
<td>H. Sensory data.</td>
<td>Audio, electronic, visual, thermal, olfactory, or similar information.</td>
<td>NO</td>
</tr>
<tr>
<td>I. Professional or employment-related information.</td>
<td>Current or past job history or performance evaluations.</td>
<td>YES</td>
</tr>
<tr>
<td>J. Non-public education information (per the Family Educational Rights and Privacy Act (20 U.S.C. Section 1232g, 34 C.F.R. Part 99)).</td>
<td>Education records directly related to a student maintained by an educational institution or party acting on its behalf, such as grades, transcripts, class lists, student schedules, student identification codes, student financial information, or student disciplinary records.</td>
<td>NO</td>
</tr>
<tr>
<td>K. Inferences drawn from other personal information.</td>
<td>Profile reflecting a person's preferences, characteristics, psychological trends, predispositions, behavior, attitudes, intelligence, abilities, and aptitudes.</td>
<td>YES</td>
</tr>
</tbody>
</table>

**Use of Personal Information**

We may use or disclose personal information for one or more of the following business purposes:

- To perform our obligations and provide consumers with the information, products or services they have requested.
- For internal uses that are aligned with our consumer relationships and our consumers’ expectations.
- To provide consumers with required email alerts, notices, and information that is of interest to them.
- For testing and product development, and to improve our websites and present consumer content.
- As necessary to protect the rights, property and safety of our consumers, Artisan Partners, and others.
To detect security incidents, protect against malicious, deceptive, fraudulent, or illegal activity, or prosecute those responsible for such activities.

To respond to law enforcement requests, court orders, or governmental regulations.

As described to you when collecting your personal information, as detailed in this Notice, or as otherwise set forth under the CCPA or other applicable laws.

Sharing Personal Information

We may disclose personal information to a third party for a business purpose. When we disclose personal information for a business purpose, we enter into a contract that describes the business purpose and requires the service provider to keep consumer personal information confidential and not to use it for any purpose except the performance of the contract.

In the preceding twelve (12) months, we have disclosed the following categories of personal information for a business purpose:

Category A: Identifiers.
Category B: Personal information categories listed in the California Customer Records statute.
Category C: Protected classification characteristics under California or federal law.
Category D: Commercial Information.
Category F: Internet or other similar network activity.
Category G: Geo location data.
Category I: Professional or employment-related information.

We disclose personal information for a business purpose to the following categories of third parties:

• Our affiliates.
• Service providers.
• Third parties to whom you or your agents authorize us to disclose your personal information in connection with products or services we provide.

In the preceding 12 months we have not sold any personal information.

Your CCPA Rights

The CCPA provides you with specific rights regarding your personal information.

CCPA Data Access and Data Portability Rights

You have the right to know certain information about our collection, use, and disclosure of your personal information for the 12-month period preceding the date of your request. You also have the right to request that we provide you with specific pieces of your personal information. These rights are subject to certain exceptions.

Upon receipt of your request, and after we verify your identity, we may provide you with information about the following (unless an exception applies):

• The categories of personal information we collected about you.
• The categories of sources for the personal information we collected about you.
• Our business or commercial purpose for collecting or selling that personal information.
• The categories of third parties with whom we share that personal information.
• The specific pieces of personal information we collected about you (data portability request).
**Deletion Request Rights**

You have the right to request the deletion of personal information we have collected about you and have retained, subject to certain exceptions. We may deny your deletion request if retaining your personal information is necessary for us or our service providers to comply with a legal obligation; to establish, exercise, or defend legal claims; or your personal information is subject to an exception or an exemption under the CCPA.

**Exercising Access, Data Portability, and Deletion Rights**

To make an access, data portability, or deletion request of your personal information under CCPA, please submit your request to us by either:

- Calling us at 1.800.399.1770.
- Completing the [Contact Us](#) form.

Only you, or a person you authorize to act on your behalf, may make a CCPA request related to your personal information. You may also make a CCPA request on behalf of your minor child. We must receive sufficient information that allows us to reasonably verify you are the person about whom we collected personal information or you are that person’s authorized representative. To authorize another person to make a CCPA request on your behalf, you must provide that person with written permission clearly describing their authority to make a CCPA request on your behalf. That individual must also be able to verify their identity and provide us with proof of their authority. We cannot respond to your CCPA request or provide you with personal information if we cannot verify your identity, your representative’s identity, or your authority to make the CCPA request. We may require additional identifying information if we are unable to confirm your identity or authority.

You may make up to two CCPA requests for access or data portability within a 12-month period. Making a CCPA request does not require you to have or to create an account with us and we will only use the personal information provided in a CCPA request to verify the requestor's identity and their authority to make the CCPA request. Additionally, you have a right to receive non-discriminatory treatment for the exercise of your rights conferred by the CCPA.

**Response Timing and Format**

We shall endeavor to respond to a verified CCPA request within 45 days, unless there are grounds for extending our response time by up to an additional 45 days. In the event of an extension, we will explain to you why the extension is necessary. As explained above, your ability to access or delete your personal information may be limited, as required or permitted by applicable law.

**Contact Information**

If you have any questions or comments about this CCPA Notice, please contact us via phone at 1.800.399.1770 or via email at dataprotection@artisanpartners.com.

Please do not provide any sensitive personal information (i.e., social security number, driver’s license number or bank number) when contacting us via email.